

**國立雲林科技大學博、碩士學位論文違反學術倫理案件處理原則**  
**National Yunlin University of Science and Technology Rules of Dealing**  
**With Violations of Academic Ethics by Doctoral and Master Degree**  
**Theses**

113 年 3 月 20 日第 123 次教務會議修訂  
Amended at the 123rd Academic Affairs Meeting on Mar. 20, 2024

一、國立雲林科技大學（以下簡稱本校）為維護教育品質與學術倫理，防範研究生學位論文、作品、成就證明、書面報告、技術報告或專業實務報告造假、變造、抄襲、由他人代寫或其他舞弊等情事發生，並建立公正處理之機制，依據「學位授予法」第十七條，訂定本處理原則。

1. These Rules are set out by National Yunlin University of Science and Technology (hereinafter referred to as “YunTech”) for dealing with incidents such as fabrication, falsification, plagiarism, ghostwriting, or other forms of academic misconduct in graduate students’ theses, works, certificates of achievement, written reports, technical reports, or professional practice reports pursuant to Article 17 of the “Degrees Conferral Act” in order to uphold educational quality and academic ethics, and to prevent said incidents from happening and to establish a fair mechanism for handling them.

二、對於具名並具體指陳造假、變造、抄襲、由他人代寫或其他舞弊情事之對象、內容及證據之書面檢舉，經教務處向檢舉人查證確為其所檢舉後即受理處理，檢舉人未具名之檢舉案則不予受理。

檢舉案未經證實成立前，應以保密方式為之，避免檢舉人與被檢舉人曝光；檢舉案經證實之後，對檢舉人之身分亦應予嚴格保密。

2. Any written report that is signed and specifically alleges instances of fabrication, falsification, plagiarism, ghostwriting, or other forms of academic misconduct, including the individuals involved, the details of the allegations, and supporting evidence will be accepted for handling once the Office of Academic Affairs has verified with the reporter that the report is indeed his/her own. Anonymous reports will not be accepted.

Until allegations are substantiated, they shall be handled confidentially to avoid exposing the identity of the reporters or the accused; even after allegations are substantiated, the identity of the reporters shall remain strictly confidential.

三、檢舉案件受理後，受理單位應於受理後一週內發函通知被檢舉人，被檢舉人對於檢舉內容得於二週內提出書面說明或於審查委員會議召開時到場陳述意見，未於通知期限內提出說明書或到場陳述意見者，視為放棄意見陳述之機會。

3. Once an allegation has been accepted as a case, the handling office shall notify the accused in writing within one week of acceptance. The accused may submit a written statement regarding the allegations within two weeks or appear in person to present their views at the review committee meeting. Failure to submit a written statement or appear in person within the specified timeframe shall be deemed a waiver of the right to present their views.

四、檢舉案件審理之期程、審查委員會之組成、委員利益迴避、會議出席及可決人數規

定如下：

- (一) 對於受理之檢舉案件，本校應於受理後二週內成立審查委員會審查檢舉案，審查委員會應本公平、公正、客觀之原則審理，並於二個月內完成審定。必要時，得延長一個月。
- (二) 審查委員會置委員五至七人，校外該專業領域學者專家至少三人，教務長為當然委員並擔任主席主持會議，委員由教務長會同被檢舉人所屬系所主管推薦，並簽請校長同意後聘任。
- (三) 與被檢舉人現有或曾有論文指導師生關係、口試委員、三親等內之血（姻）親、學術合作關係或其他利害關係者，皆不得受聘為委員。
- (四) 若主席有前項不得受聘為委員之情形須迴避時，會議主席則由委員互推一人擔任。審查委員會開會時，應有三分之二以上委員出席，出席委員二分之一以上同意，方得決議，審查委員應親自出席會議，不得委任他人代理出席及表決。

4. The timeline for reviewing reported cases, the composition of the review committee, rules regarding recusal of members, meeting attendance, and quorum are provided as follows:

- (1) For reported cases accepted for review, the University shall establish a review committee within two weeks of acceptance to examine the case. The review committee shall conduct its review in the principles of fairness, impartiality and objectivity, and shall complete its review within two months. If necessary, this period may be extended by one month.
- (2) The review committee shall consist of five to seven members, including at least three scholars or experts from outside the University in the relevant professional field. The Dean of Academic Affairs shall serve as an ex officio member and chair the committee. Members shall be recommended by the Dean of Academic Affairs in consultation with the head of the department to which the accused belongs, and appointed upon approval by the President.
- (3) Any individual who has or has had a relationship with the accused as a thesis advisor, student, or faculty member; an oral examination committee member; a blood relative or in-law within the third degree of kinship; an academic collaborator; or any other stakeholder may not be appointed as a committee member.
- (4) If the chairperson falls under any of the circumstances listed in the preceding paragraph and must recuse him/herself, the chairperson of the meeting shall be elected by the members from among themselves. For a resolution to be passed, more than two-thirds of the members must be present at the meeting, and more than half of the members present must agree. Review committee members shall attend meetings in person and may not appoint others to attend or vote on their behalf.

五、審查委員會應推薦成員中之校外該專業領域學者專家委員至少三人進行審查，審查人審理後應提出審查報告書予審查委員會以作為審理之依據。審查委員會對於審查結果應尊重審查人之專業判斷。審查人身分應予保密。

審查委員會審議前應通知被檢舉人得就審查報告書所指摘之事實提出陳述意見書，並於審議時到場說明或得以視訊方式說明。

審查委員會必要時得邀請被檢舉人之指導教授列席說明。

5. The review committee shall recommend at least three external members who are scholars or experts in the relevant field to conduct the review. After completing the review, the reviewers shall submit a review report to the review committee to serve as the basis for its deliberations. The review committee shall respect the professional judgment of the reviewers regarding the review results. The identities of the reviewers shall be kept confidential.

Prior to deliberation, the review committee shall notify the accused of the opportunity to submit a written statement addressing the facts alleged in the review report, and to appear in person or via video conference during the deliberation to provide an explanation.

If necessary, the review committee may invite the accused's advisors to attend the meeting to provide explanation.

- 六、檢舉案經委員會審理完竣後，應作成具體之決定及會議紀錄簽請校長核定，並以書面通知檢舉人及被檢舉人有關處理之結果與理由，被檢舉人若有異議，在學研究生得於收受通知後二十日內以書面附具體理由向學生申訴評議委員會提出申訴。已畢業研究生得於收受通知後三十日內依程序向教育部提起訴願。

6. Upon completion of the committee's review of a reported case, a concrete decision and meeting minutes shall be prepared and submitted to the President for approval. The reporters and the accused shall be notified in writing of the outcome and the reasons for the decision. In case of objection, the accused, if a current graduate student, may file an appeal with the Student Appeals and Review Committee in writing, stating specific grounds, within twenty days of receiving the notification. If a graduate student, the accused may file an administrative appeal with the Ministry of Education in accordance with established procedures within thirty days of receiving the notification.

- 七、本校畢業研究生學位論文、作品、成就證明、書面報告、技術報告或專業實務報告涉有造假、變造、抄襲、由他人代寫或其他舞弊案件，經調查情節重大者，即撤銷其學位，並公告註銷、追繳其已頒給之學位證書及通知其他大專校院、相關機關（構）；其有違反其他法令規定者，並應依相關法令規定處理。未達註銷學位程度者，審議委員會得限期命其修正或採取其他適當之處置。

有前項行為經調查情節重大之在學研究生，取消其畢業資格，經取消畢業資格者視同自請退學論處，即使未屆滿修業年限，亦不得要求繼續修讀。

7. If the thesis, works, certificate of achievement, written report, technical report, or professional practice report by a graduate student from YunTech is found to involve fabrication, falsification, plagiarism, ghostwriting, or other forms of academic misconduct, and is revealed by investigation to be of serious circumstances, the student's degree shall be revoked. The revocation shall be publicly announced, the degree certificate already awarded shall be recalled, and other universities and colleges, as well as relevant agencies and institutions, shall be notified. Should the student have violated other laws or regulations, the matter shall be handled in accordance with the relevant laws and regulations. In cases where

the offense does not warrant revocation of the degree, the review committee may order the student to make corrections within a specified period or take other appropriate measures.

Graduate students currently enrolled who are found by investigation to have committed the acts described in the preceding paragraph under serious circumstances shall have their eligibility for graduation revoked. Those whose eligibility for graduation has been revoked shall be treated as having voluntarily withdrawn from study; even if they have not completed the required period of study, they may not request to continue their studies.

八、檢舉案件經證實後，系所宜對該案指導教授所指導的學生數稍作限制。

8. If a reported case is substantiated, it is advisable that the department or college impose a slight restriction on the number of students supervised by the faculty member in question.

九、檢舉案件經審結為不成立者，除另有新事實或新證據外，對於同一案件不予受理。If a reported case is determined to be unfounded, it shall not be reconsidered unless new facts or evidence emerge.

十、本處理原則如有未盡事宜，依教育部及本校相關規定辦理。

10. Any matters not covered in these Guidelines shall be handled in accordance with relevant regulations of the Ministry of Education and those of YunTech.

十一、本處理原則經教務會議通過後實施，修正時亦同。

11. These guidelines, and amendments hereto, shall be implemented upon adoption at the Academic Affairs meeting.

※本原則中文版與英譯版語意有所差異時，依中文版為主。

In the event of any discrepancy between the Chinese version and the English translation of these Rules, the Chinese version shall prevail.